

418 Rec'd PCT PTO 02 MAR 1999

FORM PTO-1390 REV. 5-93		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEYS DOCKET NUMBER P99,0100
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/254242
INTERNATIONAL APPLICATION NO. PCT/DE97/01920	INTERNATIONAL FILING DATE 02 SEPTEMBER 1997	PRIORITY DATE CLAIMED 03 SEPTEMBER 1996	
TITLE OF INVENTION <p style="text-align: center;">SPEECH PROCESSING SYSTEM AND METHOD</p>			
APPLICANT(S) FOR DO/EO/US <p style="text-align: center;">Gerhard Niedermair et al</p>			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2)) - drawings attached. <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)) - drawings attached. 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input checked="" type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 			
Items 11. to 16. below concern other document(s) or information included:			
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report). 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. (SEE ATTACHED ENVELOPE) 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> Submission of Drawings - 4 sheets of drawings, (Figures 1-4). b. <input checked="" type="checkbox"/> EXPRESS MAIL #EL120646652US dated March 2, 1999. 			

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P59,0100

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO \$840.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) .. \$670.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) \$760.00Neither international preliminary examination fee (37 C.F.R. 1.482) nor international
search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$970.00International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all
claims satisfied provisions of PCT Article 33(2)-(4) \$ 96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS

PTO USE ONLY

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months
from the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$

Claims

Number Filed

Number
Extra

Rate

Total Claims

10

- 20 =

0

X \$ 18.00

\$

Independent Claims

02

- 3 =

0

X \$ 78.00

\$

Multiple Dependent Claims

\$260.00 +

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 840.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also
be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

SUBTOTAL =

\$ 840.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months
from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 840.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be
accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property

+

TOTAL FEES ENCLOSED =

\$ 840.00

Amount to be
refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 840.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 08-2290. A duplicate copy of this sheet is enclosed.NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be
filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Hill & Simpson
A Professional Corporation
85th Floor Sears Tower
Chicago, Illinois 60606

SIGNATURE

John R. Garrett

NAME

27,888

Registration Number

-1-

BOX PCT

IN THE UNITED STATES ELECTED OFFICE
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5 APPLICANT(S): Gerhard Niedermair et al DOCKET NO: P99,0100
SERIAL NO: GROUP ART UNIT:
EXAMINER:

INTERNATIONAL APPLICATION NO: PCT/DE97/01920

INTERNATIONAL FILING DATE: 02 September 1997

10 INVENTION: **SPEECH PROCESSING SYSTEM AND METHOD**

Assistant Commissioner for Patents,
Washington, D.C. 20231

SUBMISSION OF PROPOSED DRAWING ADDITIONS

15 Enclosed are copies of the drawings, (Figures 1-4), showing in red,
the addition of labels to the elements depicted in Figures 1 and 2 and the
translation of the German words to English in Figures 3 and 4. Approval of
the additions is respectfully requested.

Respectfully submitted

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 (Reg. No. 27,888)

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09/254242

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Mailing Label Number EL120646652US

Date of Deposit: March 2, 1999

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

**BOX PCT
Assistant Commissioner for Patents
Washington DC 20231**

Case Number: P99,0100
Applicant(s): Gerhard Niedermair

International Application No. PCT/DE97/01920
International Filing Date 02 September 1997
Priority Date Claimed 03 September 1996

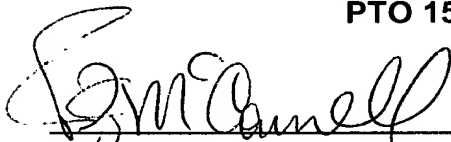
Title: SPEECH PROCESSING SYSTEM AND METHOD

Enclosed are the following documents:

International Application as filed, drawings attached;
English translation, drawings attached;
Replacement pages;
Executed Declaration;
PTO 1390 in duplicate;
Preliminary Amendment;
Information Disclosure Statement, PTO 1449; Search Report, references;
Submission of Drawings, 4 sheets of drawings, Figures 1-4;

Fee: \$840.00
Postcard.

**(See attached envelope for Executed Assignment;
PTO 1595; \$40.00 filing fee; Postcard)**



Signature of person mailing documents and fees

Siemens AG
New PCT application
Our Case P99,0100
GR 96 P 2004 P US
Inventor: Niedermair et al.

Translation / 11 February 1999 / 1211 / 9390 words